

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yoshihiro EMORI, et al.

SERIAL NO: New Application

GAU:

RCE FILED: Herewith

EXAMINER:

FOR: RAMAN AMPLIFICATION METHOD AND OPTICAL SIGNAL TRANSMISSION METHOD USING SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were submitted in parent application Serial No. 09/774,026 filed January 31, 2001, as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

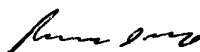
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Bradley D. Lytle
Registration No. 40,073



22850

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 239948US-8CONT		SERIAL NO. New Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Yoshihiro EMORI, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,401,364	08/30/83	Mochizuki			
	AB	5,946,428	08/13/99	Aleksandrov et al.			
	AC	5,959,750	09/28/99	Eskildsen et al.			
	AD	5,966,206	10/12/99	Jander			
	AE	5,966,480	10/12/99	LeGrange et al.			
	AF	6,038,356	03/14/00	Kerfoot, III et al.			
	AG	6,081,323	06/27/00	Mahgerefteh et al.			
	AH	6,081,366	06/27/00	Kidorf et al.			
	AI	6,147,794	11/14/00	Stentz			
	AJ	6,163,636	12/19/00	Stentz et at.			
	AK	6,181,464 B1	06/30/01	Kidorf et al.			
	AL	6,191,877 B1	02/20/01	Chraplyvy et al.			
	AM	6,212,310 B1	04/03/01	Waarts et al.			
	AN	6,263,139 B1	07/17/01	Kawakami et al.			
	AO	6,266,180 B1	07/24/01	Inagaki et al.			
	AP	6,320,695 B1	11/20/01	Tanaka et al.			
	AQ	6,115,174	09/05/00	Grubb et al.			
	AR	4,616,898	10/14/86	Hicks, Jr.			
	AS	4,699,452	10/13/87	Mollenauer et al.			
	AT	4,881,790	11/21/89	Mollenauer			
	AU	5,887,093	03/23/99	Hansen et al.			
	AV	5,673,280	09/30/97	Grubb et al.			
	AW	6,052,219	04/18/00	Kidorf et al.			
	AX	5,883,736	03/16/99	Oshima et al.			
	AY	6,178,038 B1	01/23/01	Taylor et al.			
	AZ	6,282,002 B1	08/28/01	Grubb et al.			
	AZA	6,320,884 B1	11/20/01	Kerfoot, III et al.			
	AZB	6,344,922	02/05/02	Grubb et al.			
	AZC	6,377,389 B1	04/23/02	Grubb et al.			
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
					<input type="checkbox"/> Additional References sheet(s) attached		
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							